



Docket No.: E3331.0485/P485
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gregory D. Mills et al.

Allowed October 11, 2005

Application No.: 09/603,514

Confirmation Number: 9916

Filed: June 23, 2000

Art Unit: 3624

For: CREDIT HANDLING IN AN
ANONYMOUS TRADING SYSTEM

Examiner: Ella Colbert

**COMMUNICATION REGARDING REQUIREMENT FOR CORRECTED
DRAWINGS**

Commissioner for Patents
Mail Stop Issue Fee
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Request for Corrected Notice of Allowability dated December 23, 2005, pointed out that the Notice of Allowability for the above-identified patent application dated October 11, 2005, indicated that corrected drawings were required, even though corrected formal drawings (ten sheets, twelve figures), addressing all of the issues mentioned by the Examiner, were previously submitted on July 26, 2005, together with the Amendment After Final Action. Moreover, the drawings filed by Applicants on July 26, 2005 are accessible on the U.S. Patent and Trademark Office's PAIR web site, with the date stamp on each sheet. To date, the Office has not issued a Corrected Notice of Allowance.

Application No.: 09/603,514

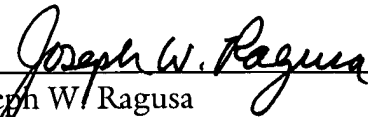
Docket No.: E3331.0485/P0485

In view of the above, it is clear that **no corrected drawings are actually due.**

Dated: January 10, 2005

Respectfully submitted,

By



Joseph W. Ragusa

Registration No.: 38,586

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

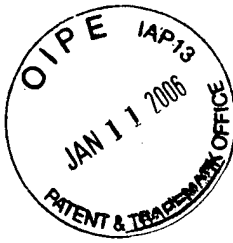
1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

(212) 835-1400

Attorney for Applicant



Atty Docket No.: E3331.0485

Inventor: Gregory Mills et al.

Application No.: 09/603,514

Filing Date: June 23, 2000

Title: CREDIT HANDLING IN AN ANONYMOUS TRADING SYSTEM

Documents Filed:

Amendment After Final Action
Submission of Formal Drawings
Formal Drawings (10 sheets; 12 figures)
Copy of Postcard receipt

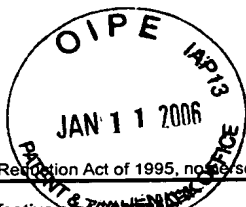


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Sender's Initials: JWR/rr

Date: July 26, 2005

DOCSNY.134912.1



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL For FY 2005		Complete if Known		
		Application Number	09/603,514-Conf. #9916	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	June 23, 2000	
		First Named Inventor	Gregory Mills	
		Examiner Name	E. Colbert	
		Art Unit	3624	
TOTAL AMOUNT OF PAYMENT	(\$)	1,430.00	Attorney Docket No.	E3331.0485

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☐ Deposit Account Deposit Account Number: 50-2215 Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
_____ - 20 = _____	x _____	= _____		<u>Fee (\$)</u> <u>Fee Paid (\$)</u>
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	
_____ - 3 = _____	x _____	= _____		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 100 = _____	/50	_____ (round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): 1501 Utility issue fee	1,400.00
8001 Printed copy of patent w/o color	30.00

SUBMITTED BY			
Signature	<i>Joseph W. Ragusa</i>	Registration No. (Attorney/Agent)	38,586
Name (Print/Type)	Joseph W. Ragusa	Telephone	(212) 896-5452
		Date	January 9, 2006